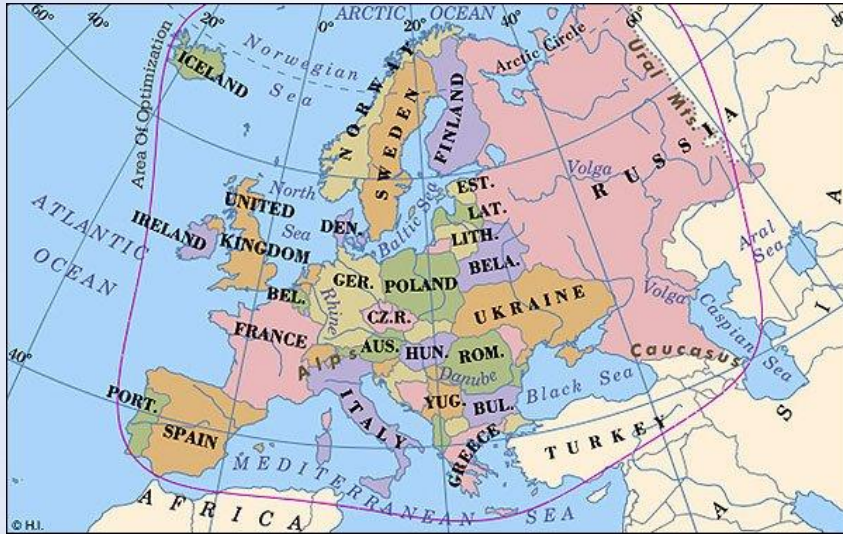


# X-RAY RAMAN SCATTERING

SIMO HUOTARI  
UNIVERSITY OF HELSINKI, FINLAND



# HELSINKI ELECTRONIC STRUCTURE & INELASTIC X-RAY SCATTERING = HELIXS



Finland (population 5,5 million)



Helsinki (capital of Finland)

**Simo Huotari, M. Hakala, K. Hämäläinen,**  
J. Niskanen, S. Galambosi, J. Hashemi, A. Akbari,  
M. Aramini, K. Ruotsalainen, J. Inkinen,  
A. Kallonen, J. Koskelo, A.-P. Honkanen, A. Musazay



UNIVERSITY OF HELSINKI

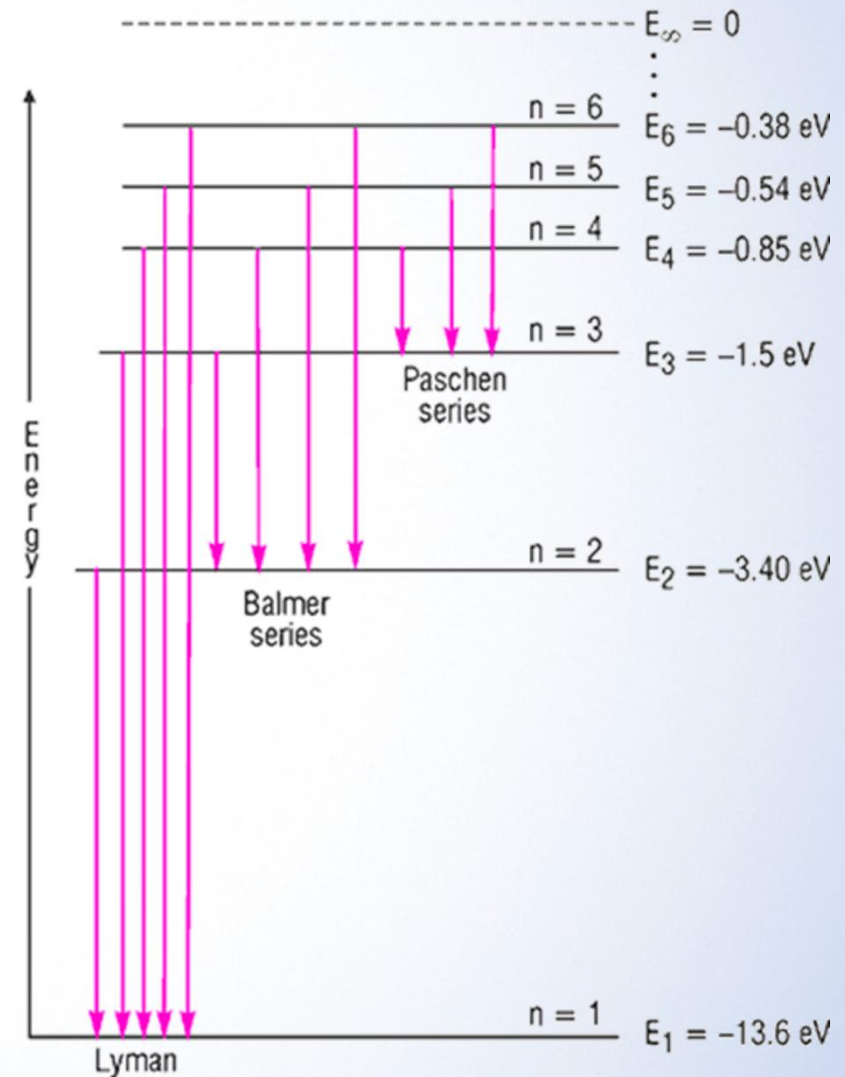
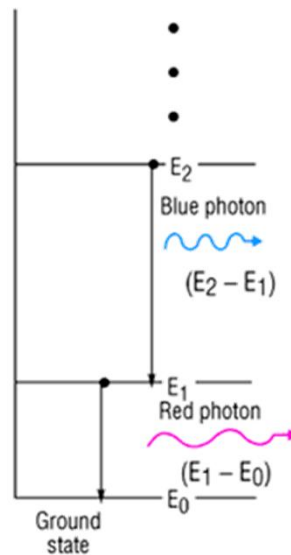
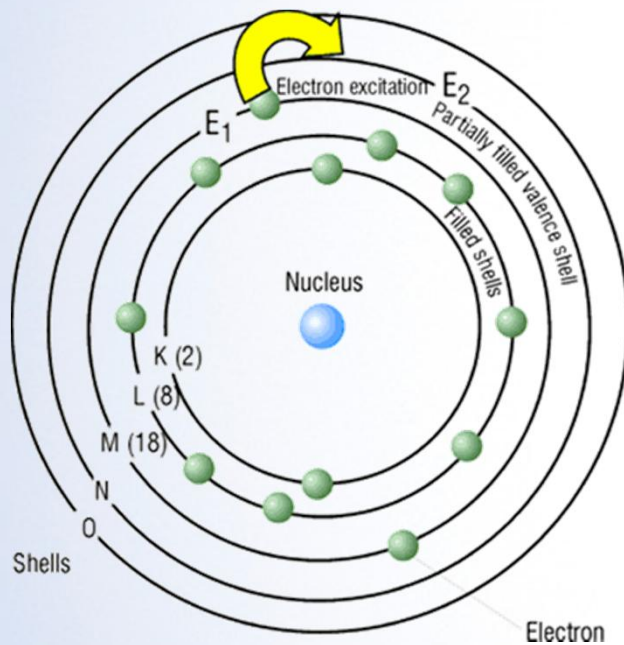
<http://helixs.physics.helsinki.fi>

<http://www.fsruo.fi>



ESRF, Grenoble, France

# ENERGY LEVELS IN ATOM

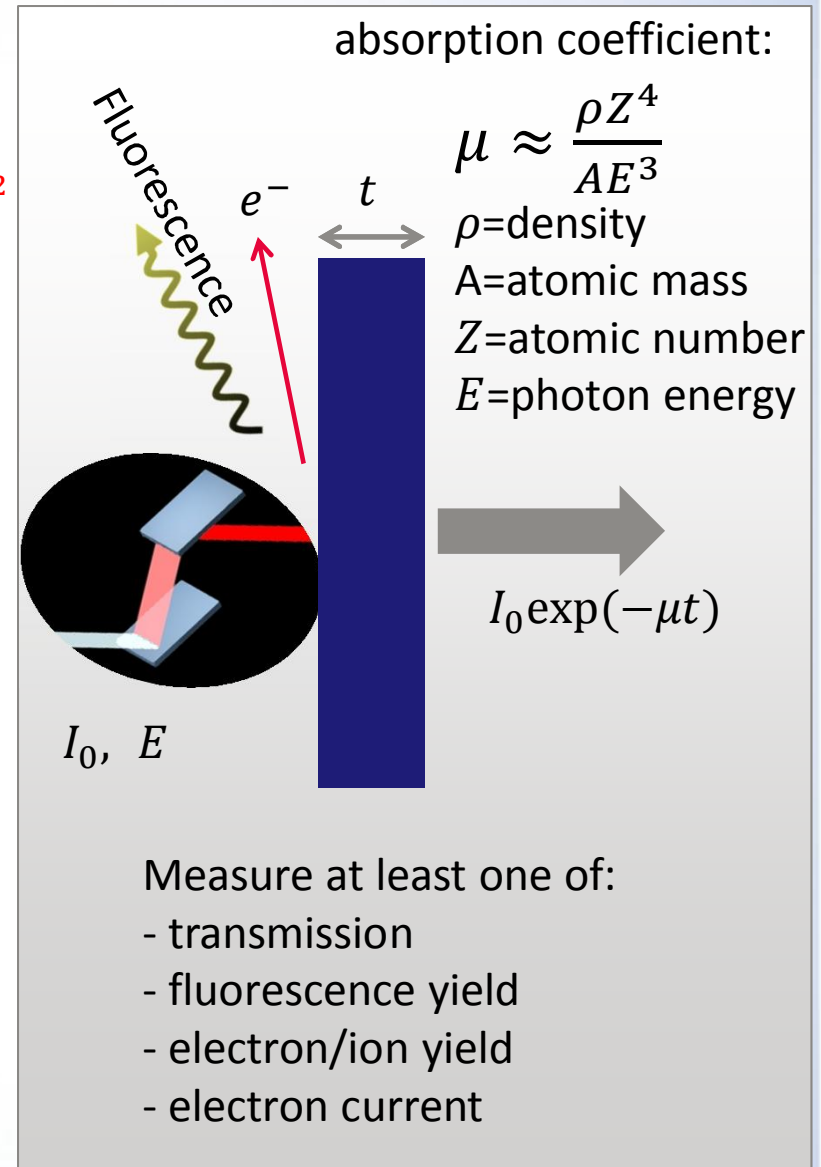
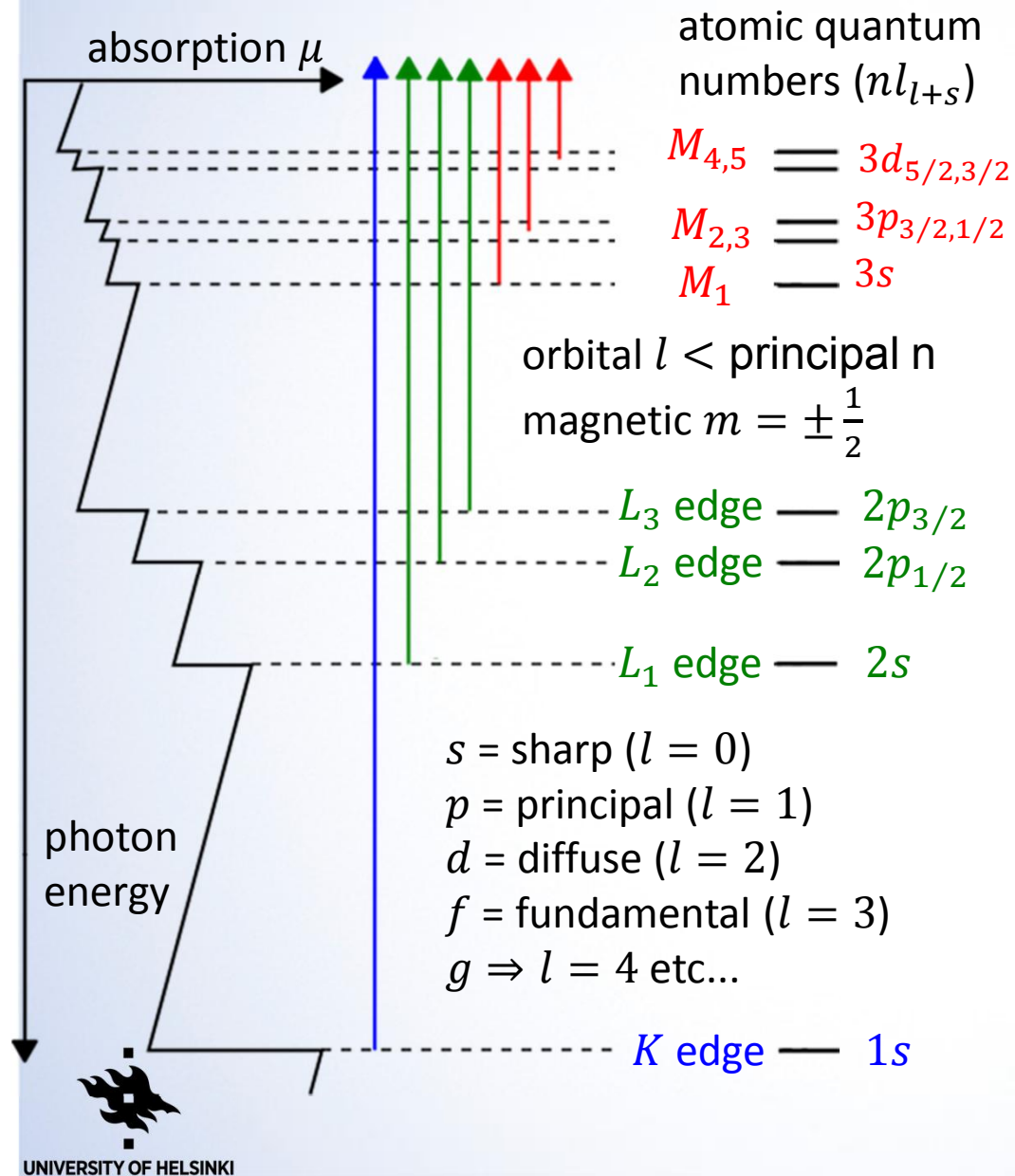


# CONTENT

1. X-ray absorption spectroscopy
2. Compton scattering
3. X-ray Raman scattering
4. Equivalence of absorption & X-ray Raman

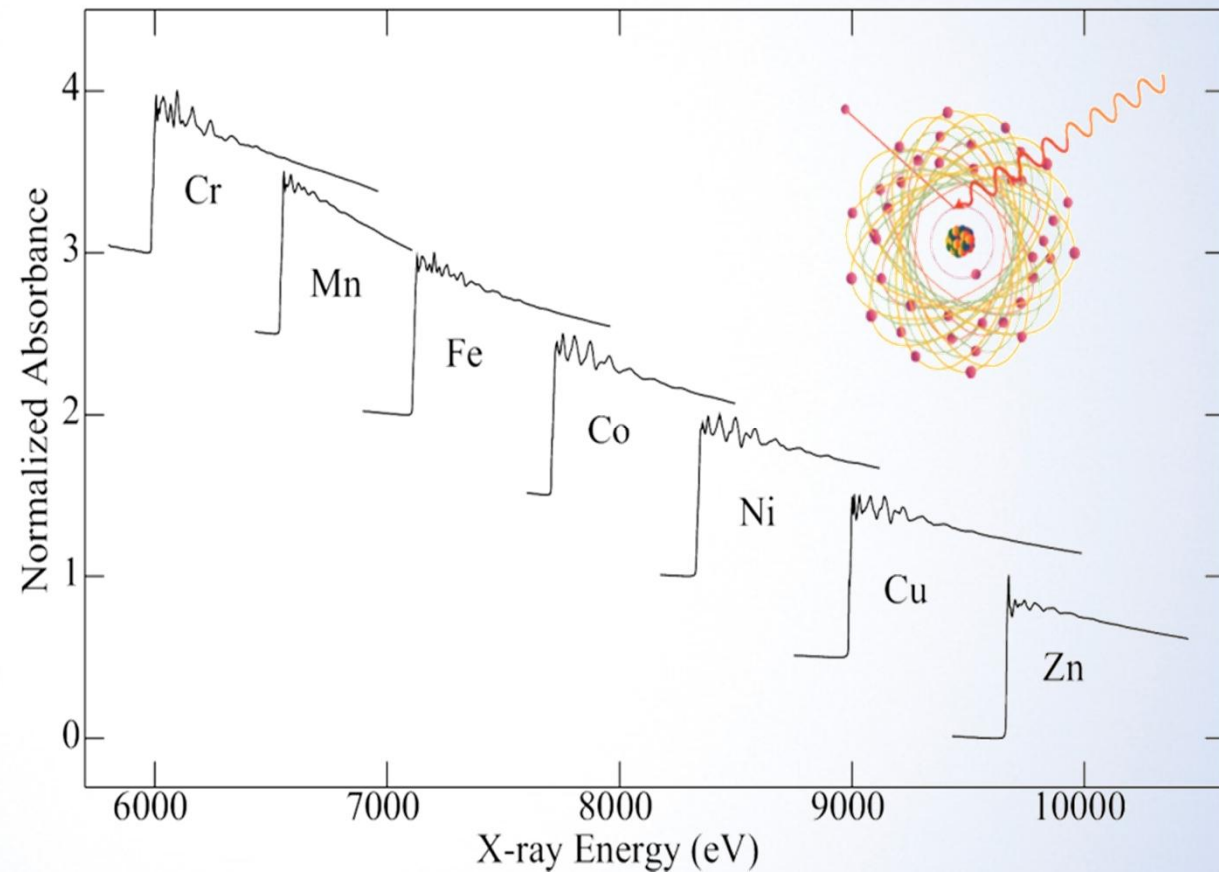
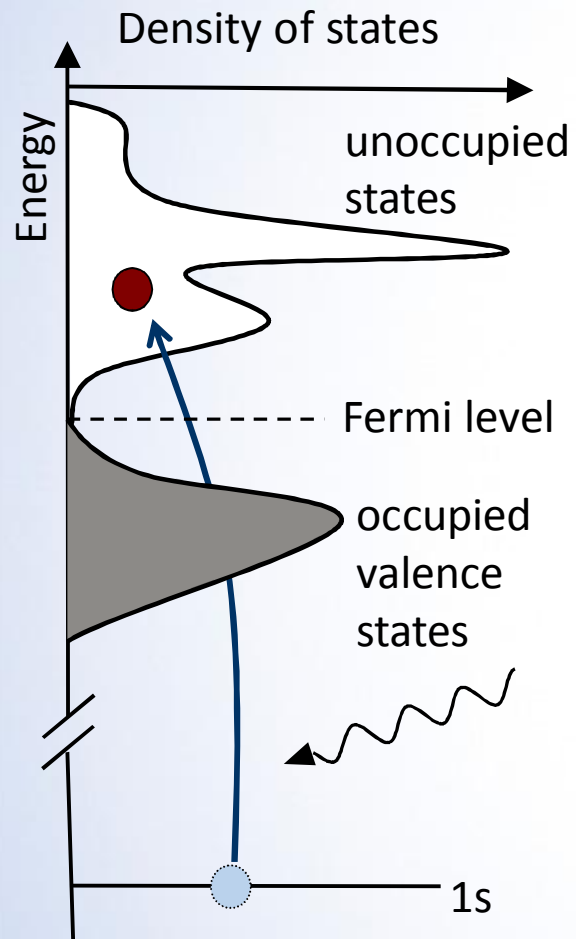


# ABSORPTION EDGES

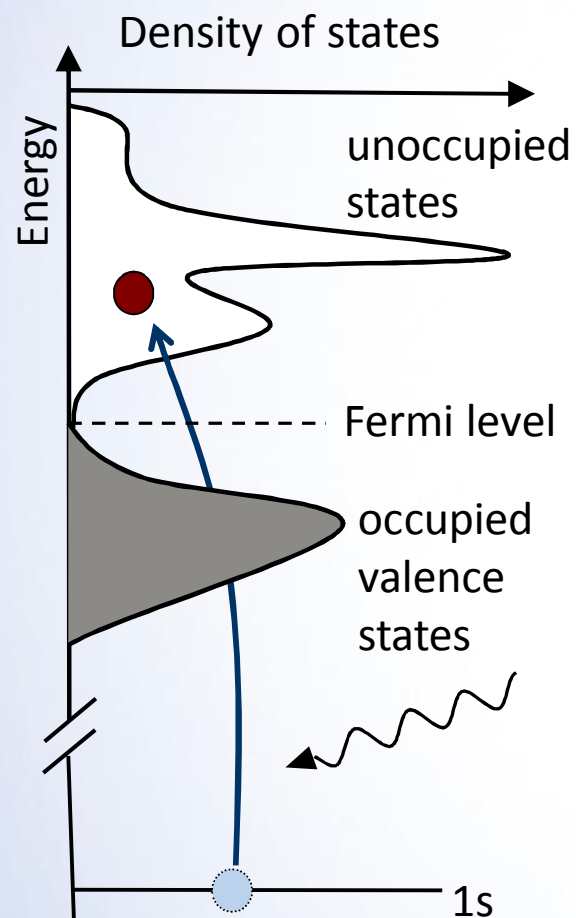




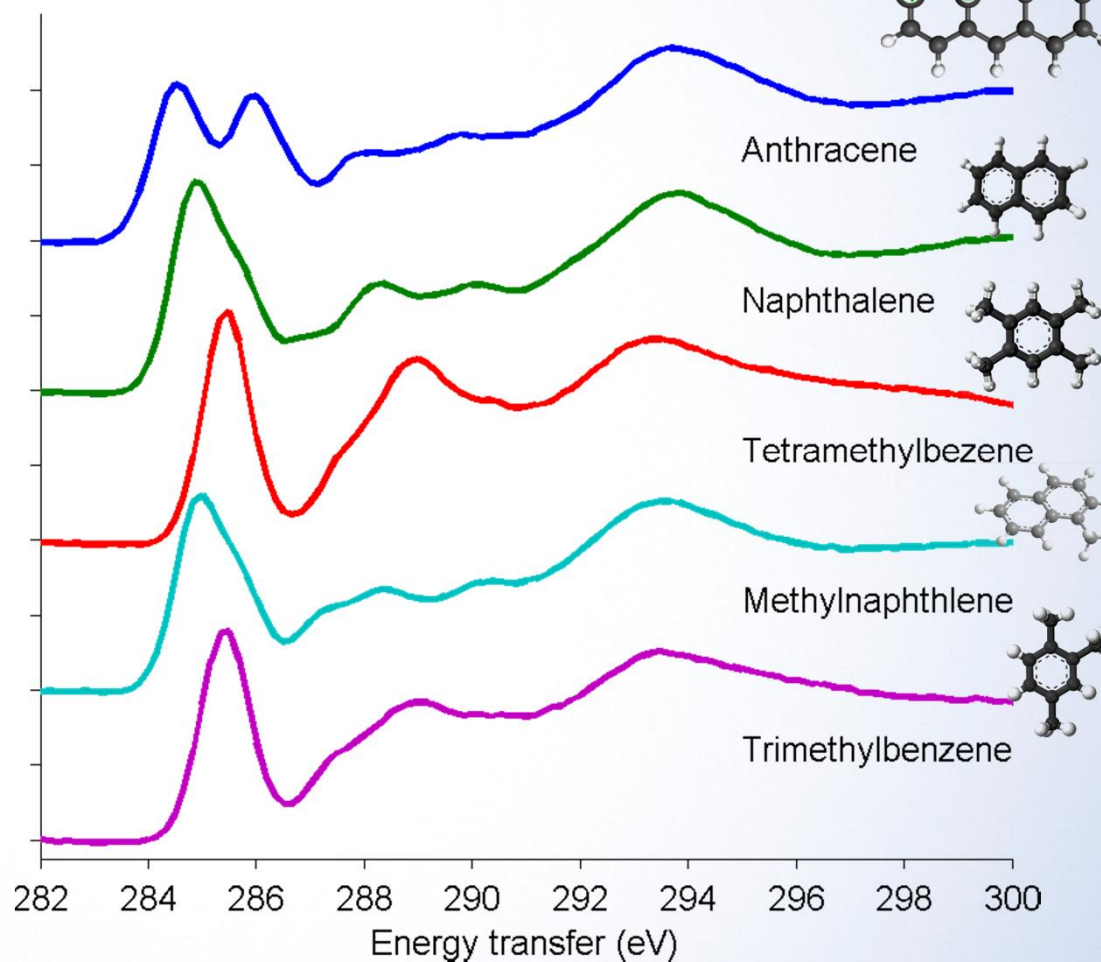
# HARD X-RAY EDGES



# SOFT X-RAY EDGES



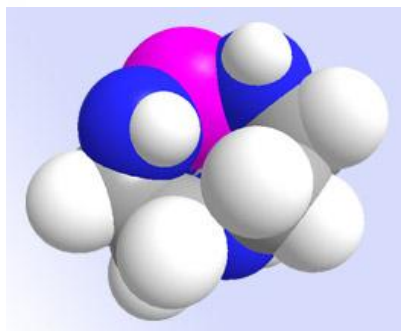
Carbon K edge



# SOFT AND HARD X-RAYS

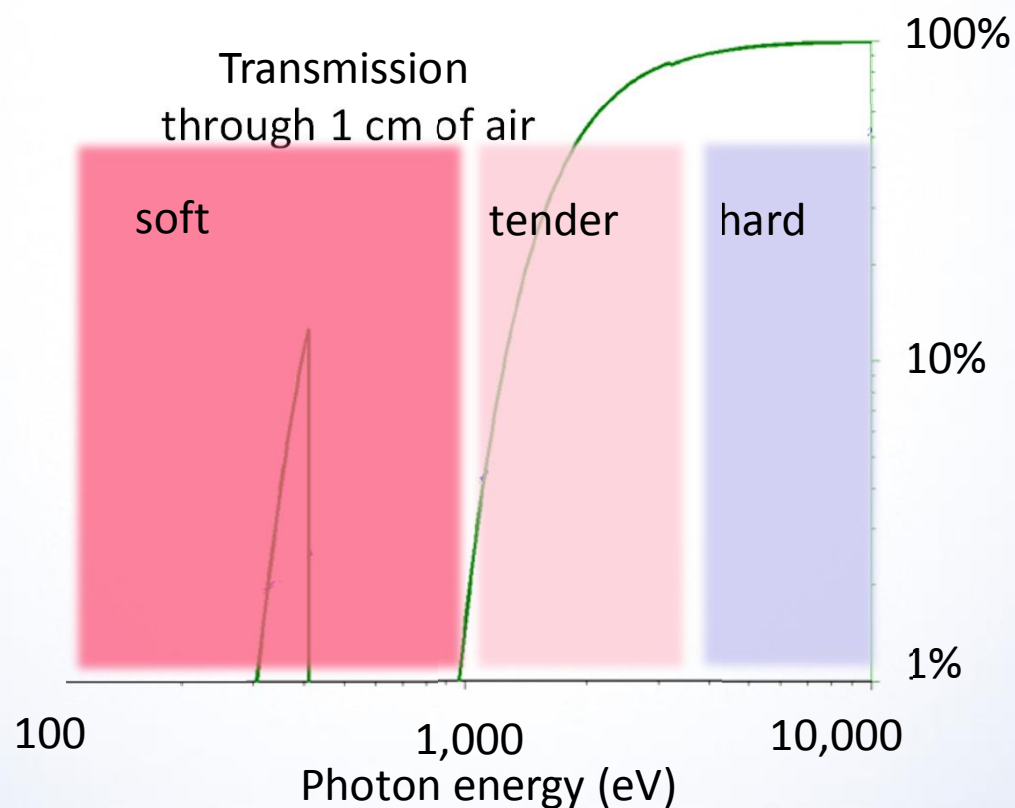
Soft x-rays

Ideal for spectroscopies of gases, clusters, surfaces



Hard x-rays

Ideal for in situ environments, atmospheric and higher pressures



"tender" x-rays

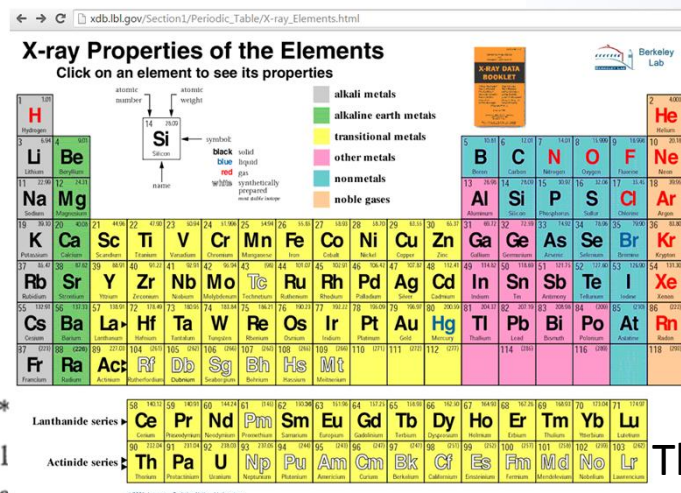




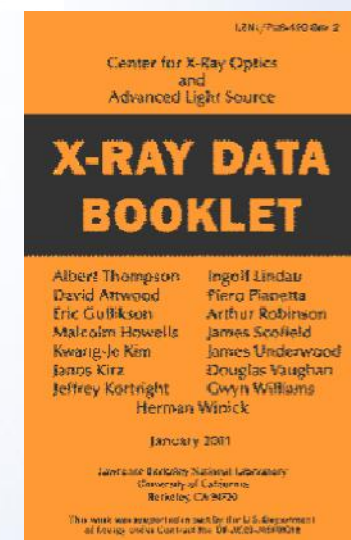
# ABSORPTION EDGE ENERGIES

Element	K 1s	L <sub>1</sub> 2s	L <sub>2</sub> 2p <sub>1/2</sub>	L <sub>3</sub> 2p <sub>3/2</sub>	M <sub>1</sub> 3s	M <sub>2</sub> 3p <sub>1/2</sub>	M <sub>3</sub> 3p <sub>3/2</sub>
1 H	13.6						
2 He	24.6*						
3 Li	54.7*						
4 Be	111.5*						
5 B	188*						
6 C	284.2*						
7 N	409.9*	37.3*					
8 O	543.1*	41.6*					
9 F	696.7*						
10 Ne	870.2*	48.5*	21.7*	21.6*			
11 Na	1070.8†	63.5†	30.65	30.81			
12 Mg	1303.0†	88.7	49.78	49.50			
13 Al	1559.6	117.8	72.95	72.55			
14 Si	1839	149.7*b	99.82	99.42			
15 P	2145.5	189*	136*	135*			
16 S	2472	230.9	163.6*	162.5*			
17 Cl	2822.4	270*	202*	200*			
18 Ar	3205.9*	326.3*	250.6†	248.4*	29.3*	15.9*	15.7*
19 K	3608.4*	378.6*	297.3*	294.6*	34.8*	18.3*	18.3*
20 Ca	4038.5*	438.4†	349.7†	346.2†	44.3 †	25.4†	25.4†
21 Sc	4492	498.0*	403.6*	398.7*	51.1*	28.3*	28.3*
22 Ti	4966	560.9†	460.2†	453.8†	58.7†	32.6†	32.6†

in electron  
volts (eV)



The "orange book"



[http://xdb.lbl.gov/Section1/Periodic\\_Table/X-ray\\_Elements.html](http://xdb.lbl.gov/Section1/Periodic_Table/X-ray_Elements.html)

<http://xdb.lbl.gov/>

# \$1,000,000 QUESTION

Element	K 1s	L <sub>1</sub> 2s	L <sub>2</sub> 2p <sub>1/2</sub>	L <sub>3</sub> 2p <sub>3/2</sub>	M <sub>1</sub> 3s	M <sub>2</sub> 3p <sub>1/2</sub>	M <sub>3</sub> 3p <sub>3/2</sub>
1 H	13.6	in electron volts (eV)					
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22 Ti	4966	560.9†	460.2†	453.8†	58.7†	32.6†	32.6†

Q: Is there any way to measure these soft-x-ray absorption edges in a way that is not surface sensitive, require a vacuum, or could be used with complex sample environments?

# THEN YOU COULD DO ALL THIS...

PRL 109, 046401 (2012)

PHYSICAL REVIEW LETTERS

week ending  
27 JULY 2012

## Determining the In-Plane Orientation of the Ground-State Orbital of $\text{CeCu}_2\text{Si}_2$

T. Willers,<sup>1</sup> F. Strigari,<sup>1</sup> N. Hiraoka,<sup>2</sup> Y. Q. Cai,<sup>3</sup> M. W. Haverkort,<sup>4</sup> K.-D. Tsuei,<sup>2</sup> Y. F. Liao,<sup>2</sup> S. Seiro,<sup>5</sup> C. Geibel,<sup>5</sup>  
F. Steglich,<sup>5</sup> L. H. Tjeng,<sup>5</sup> and A. Severing<sup>1</sup>

## Electronic structure of carbon dioxide under pressure and insights into the molecular-to-nonmolecular transition

Sean R. Shieh<sup>a,1</sup>, Ignace Jarrige<sup>b</sup>, Min Wu<sup>c,d</sup>, Nozomu Hiraoka<sup>e</sup>, John S. Tse<sup>d</sup>, Zhongying Mi<sup>a,2</sup>, Linada Kaci<sup>a</sup>,  
Jian-Zhong Jiang<sup>c</sup>, and Yong Q. Cai<sup>b</sup>

<sup>a</sup>Departments of Earth Sciences, and Physics and Astronomy, University of Western Ontario, London, ON, Canada N6A 5B7; <sup>b</sup>Photon Sciences, Brookhaven National Laboratory, Upton, NY 11973; <sup>c</sup>International Center for New Structured Materials and Laboratory of New Structured Materials, Department of Materials Science and Engineering, Zhejiang University, Hangzhou 310027, People's Republic of China; <sup>d</sup>Department of Physics and Engineering Physics, University of Saskatchewan, Saskatoon, SK, Canada S7N 5E2; and <sup>e</sup>National Synchrotron Radiation Research Center, Hsinchu 30076, Taiwan

...and more!

## The formation of $\text{sp}^3$ bonding in compressed BN

YUE MENG<sup>\*1,2</sup>, HO-KWANG MAO<sup>2</sup>, PETER J. ENG<sup>3</sup>, THOMAS P. TRAINOR<sup>3†</sup>,  
MATTHEW NEWVILLE<sup>3</sup>, MICHAEL Y. HU<sup>1,2</sup>, CHICHANG KAO<sup>4</sup>, JINFU SHU<sup>2</sup>, DANIEL HAUSERMANN<sup>1,2</sup>  
AND RUSSELL J. HEMLEY<sup>2</sup>

LETTERS  
SCIENTIFIC  
REPORTS



## Structure and Properties of Dense Silica Glass

Min Wu<sup>1,2</sup>, Yunfeng Liang<sup>2,3</sup>, Jian-Zhong Jiang<sup>1</sup> & John S. Tse<sup>1,2</sup>

## Microscopic structure of water at elevated pressures and temperatures

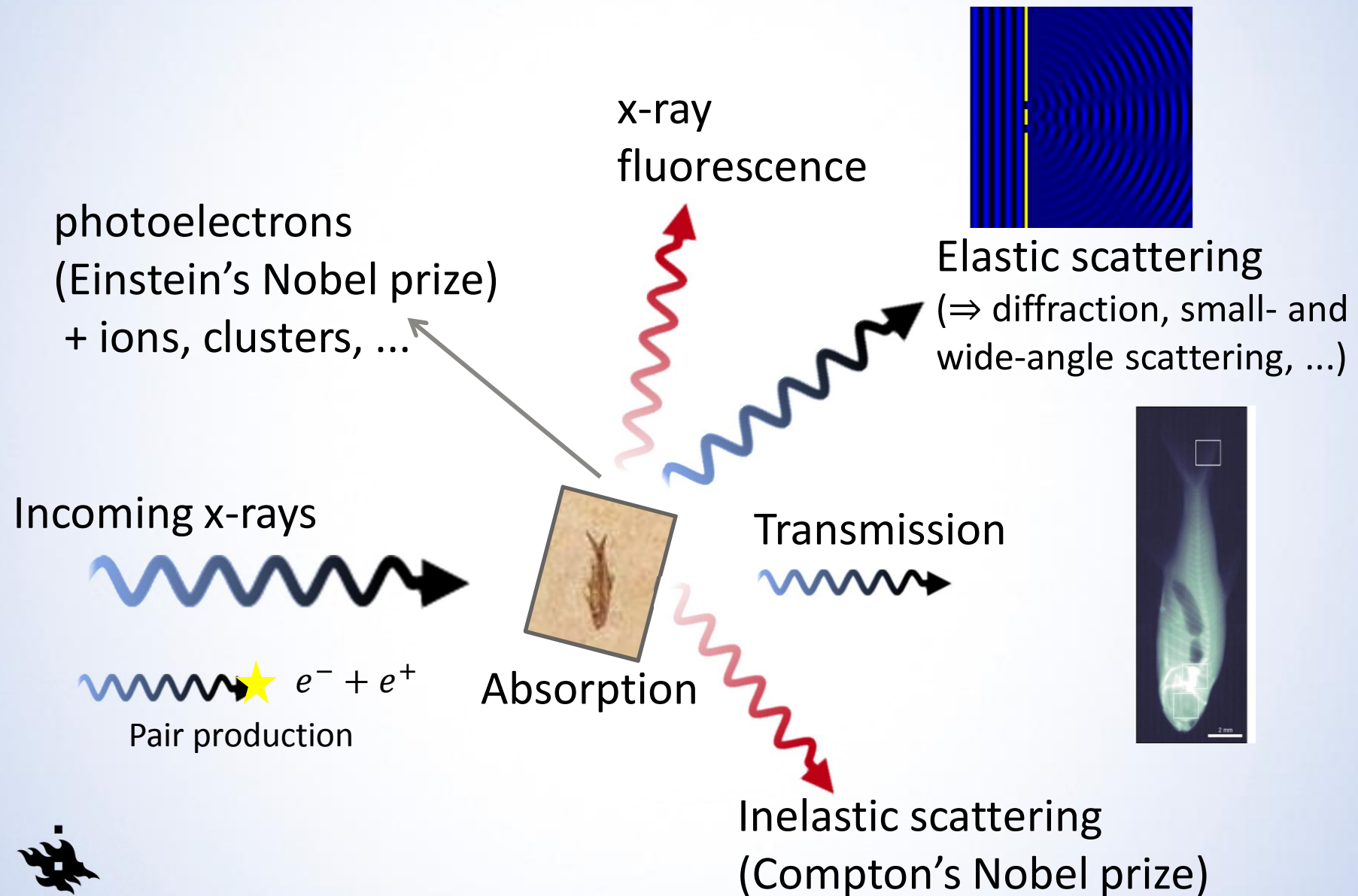
Christoph J. Sahle<sup>a,b,1</sup>, Christian Sternemann<sup>a</sup>, Christian Schmidt<sup>c</sup>, Susi Lehtola<sup>b</sup>, Sandro Jahn<sup>c</sup>, Laura Simonelli<sup>d</sup>,  
Simo Huotari<sup>b</sup>, Mikko Hakala<sup>b</sup>, Tuomas Pylkkänen<sup>b</sup>, Alexander Nyrow<sup>a</sup>, Kolja Mende<sup>a</sup>, Metin Tolan<sup>a</sup>,  
Keijo Hämäläinen<sup>b</sup>, and Max Wilke<sup>c</sup>



UNIVERSITY OF HELSINKI

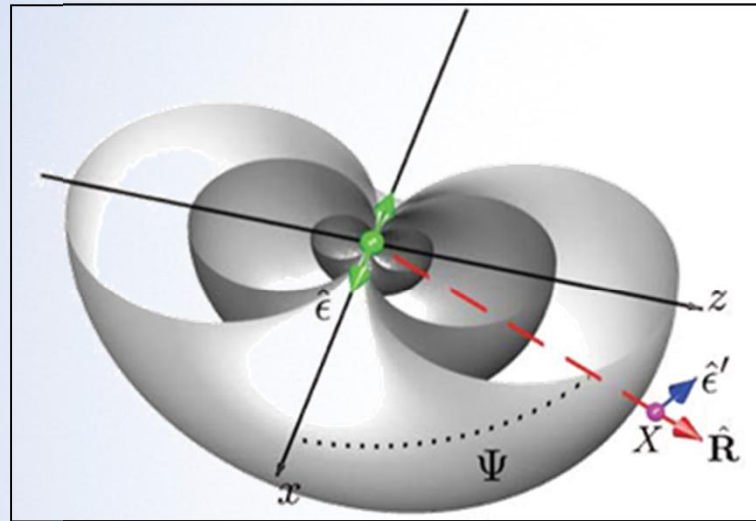
AS

# X-RAY / MATTER INTERACTION





# X-RAY SCATTERING



Number density

$$\propto |\mathbf{E}_{rad}|^2 / \hbar\omega$$

$$R^2 \Delta\Omega$$

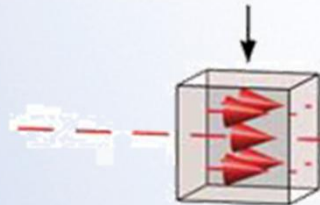
$$\Delta\Omega$$

$$I_{sc} \propto c (R^2 \Delta\Omega) |\mathbf{E}_{rad}|^2 / \hbar\omega$$

Differential cross section:

$$\left( \frac{d\sigma}{d\Omega} \right) = \frac{I_{sc}}{\Phi_0 \Delta\Omega} = \frac{|\mathbf{E}_{rad}|^2}{|\mathbf{E}_{in}|^2} R^2$$

Number density  $\propto |\mathbf{E}_{in}|^2 / \hbar\omega$



Scattering  
Object

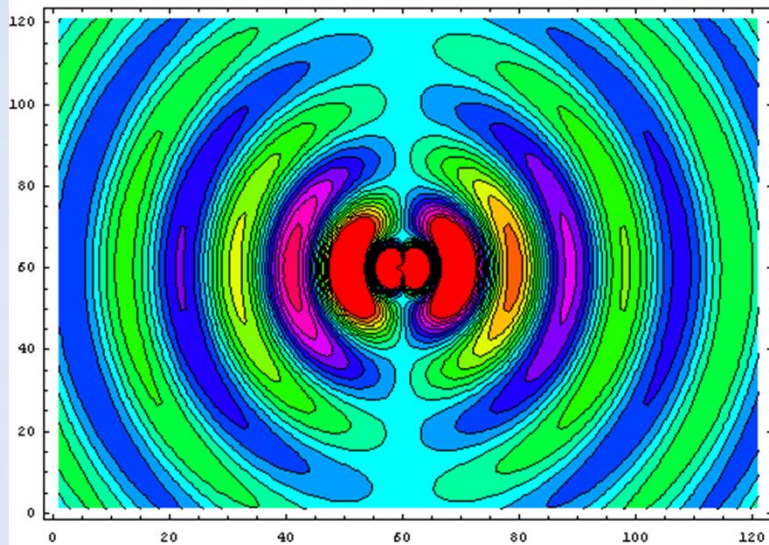


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From Als-Nielsen & McMorrow:  
Elements of Modern X-ray Physics (Wiley)



# ELASTIC SCATTERING FROM AN ELECTRON (CLASSICAL)



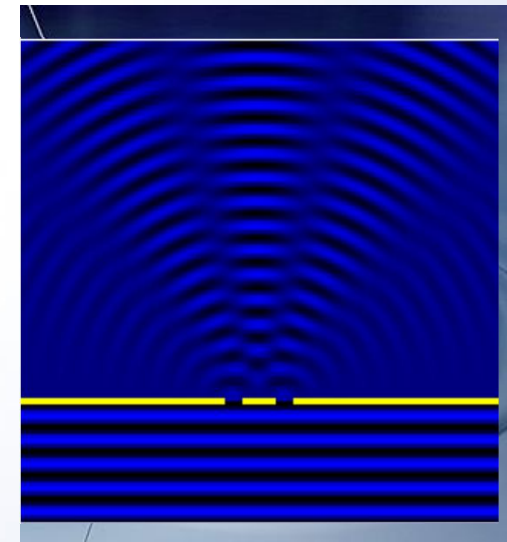
## Thomson cross section

$$\left( \frac{d\sigma}{d\Omega} \right)_{TH} = r_0^2 \left| \hat{e}_1 \cdot \hat{e}_2 \right|^2 = r_0^2 \left( \frac{1 + \cos^2 2\theta}{2} \right)$$

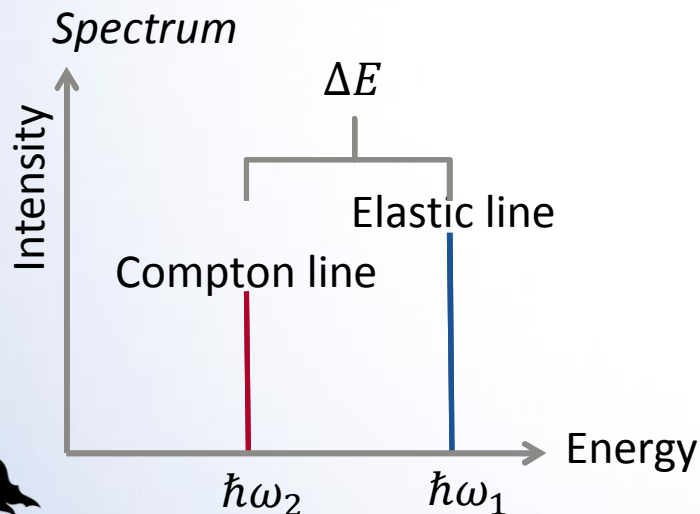
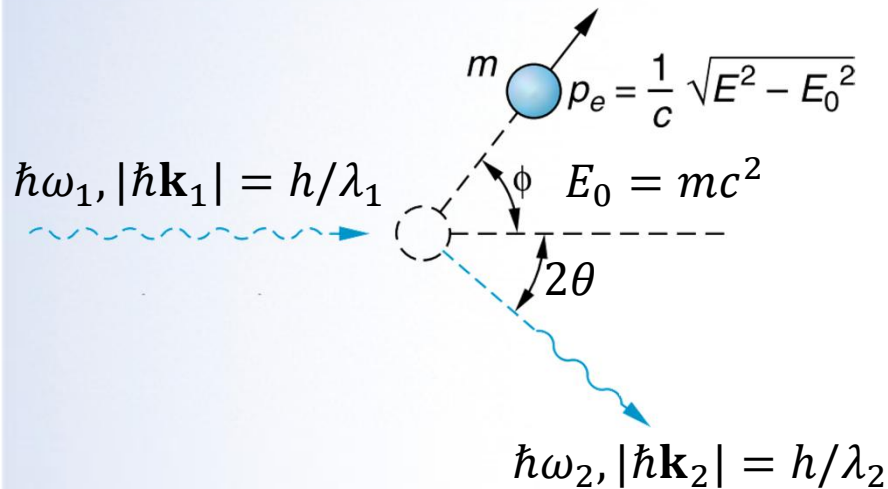
Unpolarized  
radiation

$$\begin{cases} r_0 = 2.8 \cdot 10^{-15} \text{ m} \\ r_0^2 = 0.079 \text{ barn} \end{cases} \quad (\text{THOMSON})$$

1 barn =  $10^{-24} \text{ cm}^2$  (cross section of a uranium nucleus)



# INELASTIC SCATTERING FROM AN ELECTRON (CLASSICAL)



1. Particle (photon) scatters off another particle (electron). Collision is inelastic  $\Rightarrow$  loss of energy

2. Laws of energy and momentum conservation hold:

$$\hbar c|\mathbf{k}_1| - \hbar c|\mathbf{k}_2| = \sqrt{E_0^2 + p_e^2 c^2} - E_0$$

$$\hbar\mathbf{k}_1 - \hbar\mathbf{k}_2 = \mathbf{p}_e$$

3. This leads to a well defined red-shift of the photon energy upon scattering  $\Rightarrow$  the so-called **Compton shift**



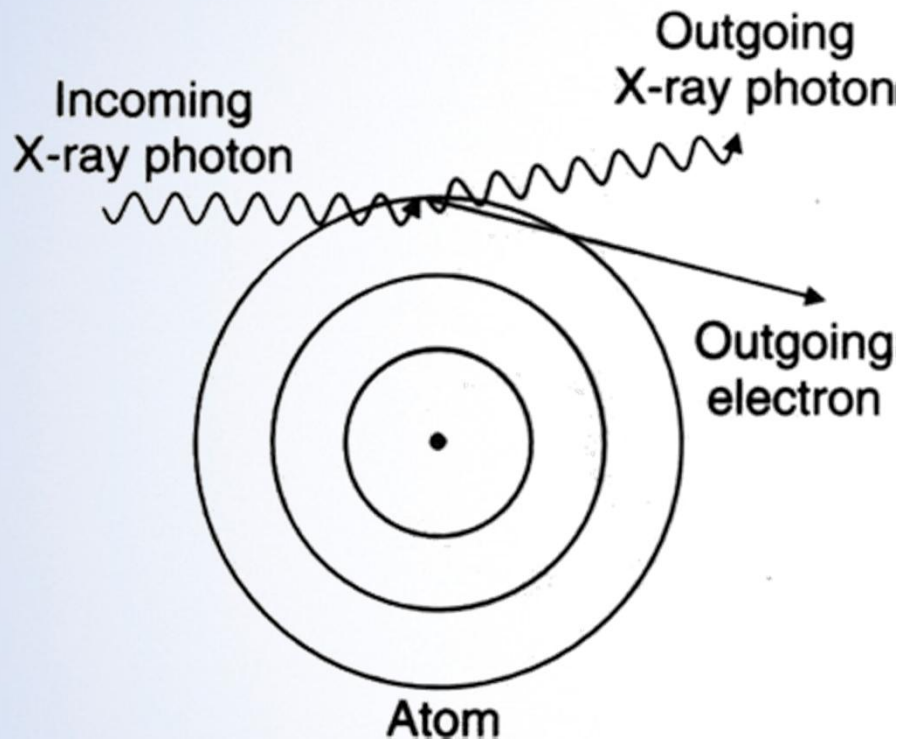
Arthur Holly Compton, Nobel prize 1927

# EXERCISE: COMPTON SCATTERING

1. Derive the wavelength change  $\Delta\lambda$  in Compton scattering for an electron that is initially at rest, photon scattering angle  $2\theta$ .
2. How does  $\Delta\lambda$  depend on the initial wavelength?
3. Bonus question: What is the Compton shift in energy as a function of momentum transfer  $q$ ?



# INELASTIC SCATTERING FROM AN ATOM (CLASSICAL)

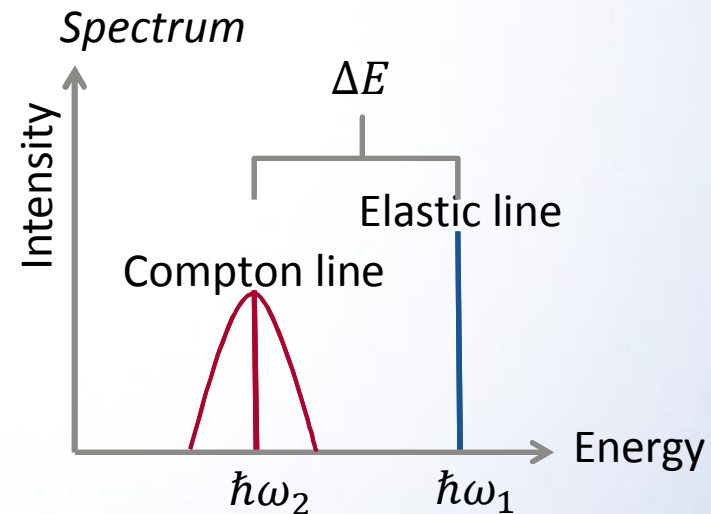


$$\left(\frac{d\sigma}{d\Omega}\right) = \left(\frac{d\sigma}{d\Omega}\right)_{\text{Th}} \times S$$

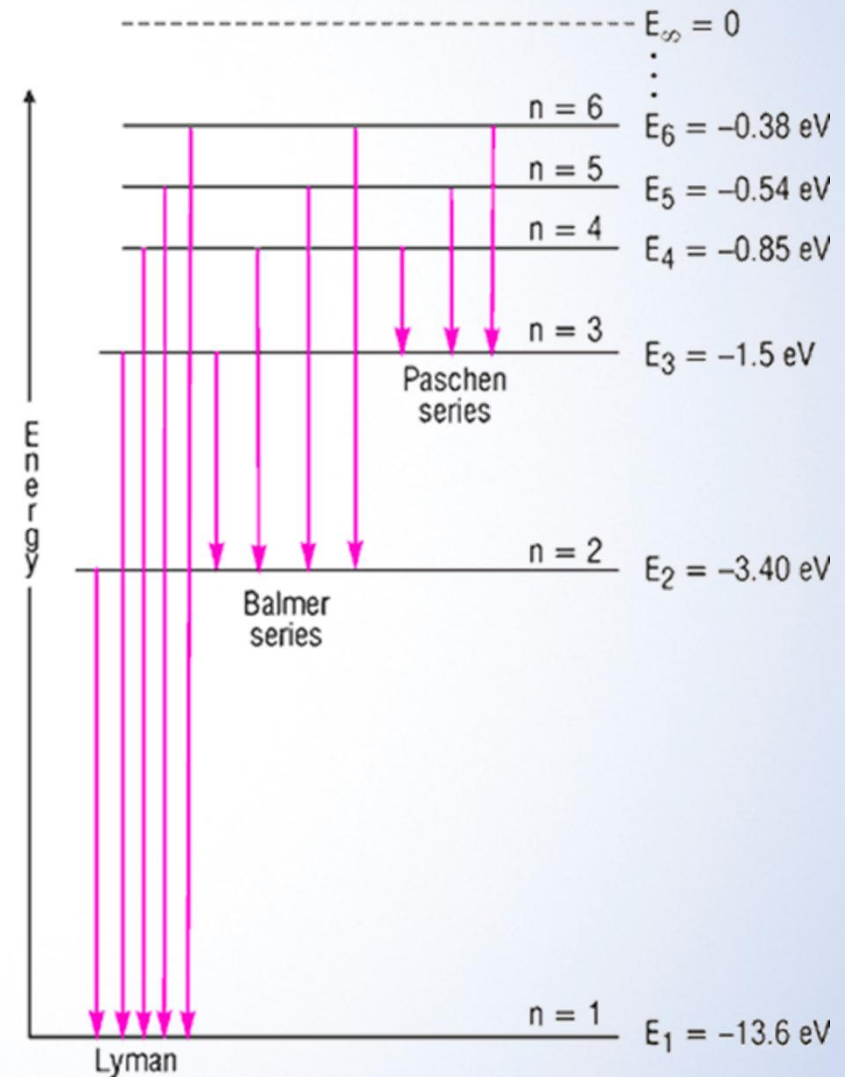
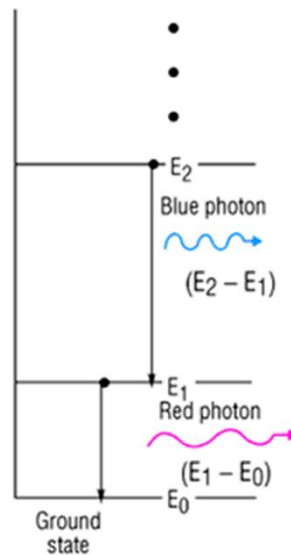
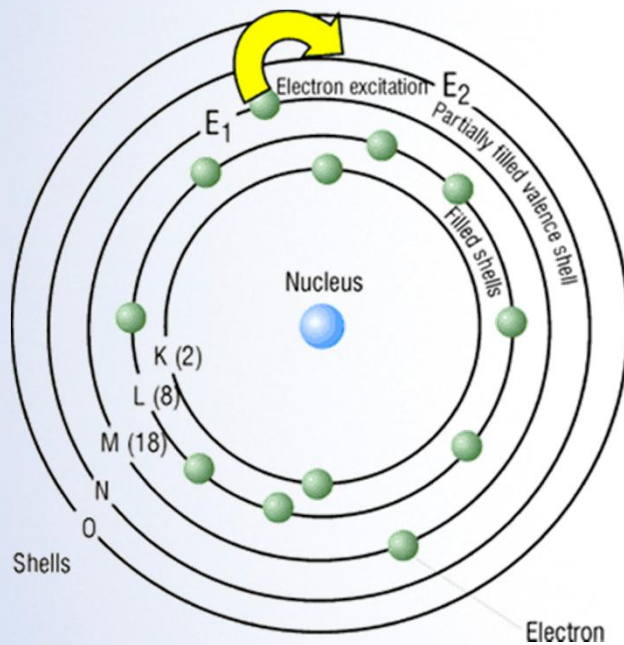
$S$  = Incoherent atomic scattering factor

Electrons bound to atoms are constantly on the move!

Initially electrons are not at rest in general  $\Rightarrow$  Doppler shift (from the laws of conservation of energy and momentum)

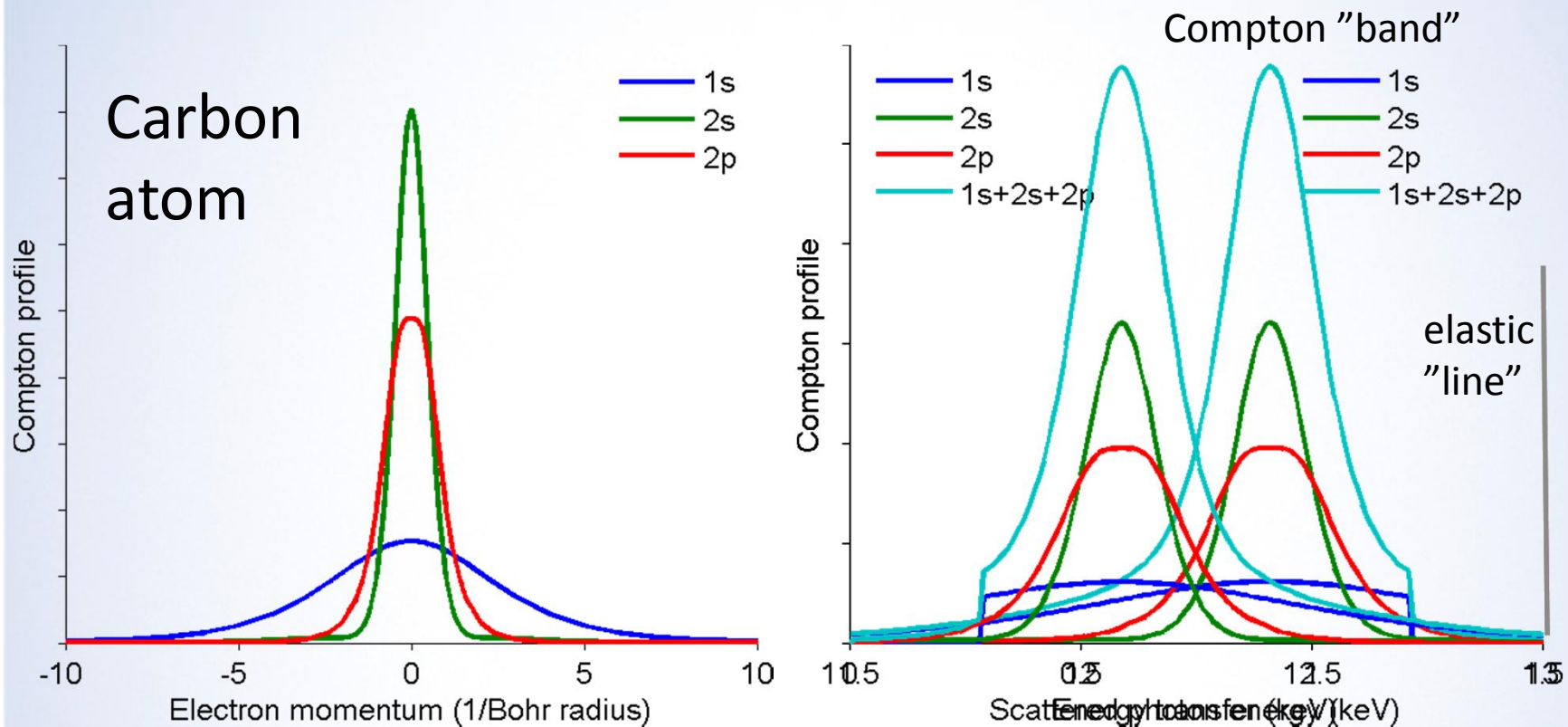


# ENERGY LEVELS IN ATOM





# COMPTON PROFILE OF AN ATOM



- Compton profile peak appears at energy transfer of  $\hbar\omega_1 - \hbar\omega_2 \approx q^2/2m$
- X-ray Raman edge appears at the corresponding electron shell's binding energy

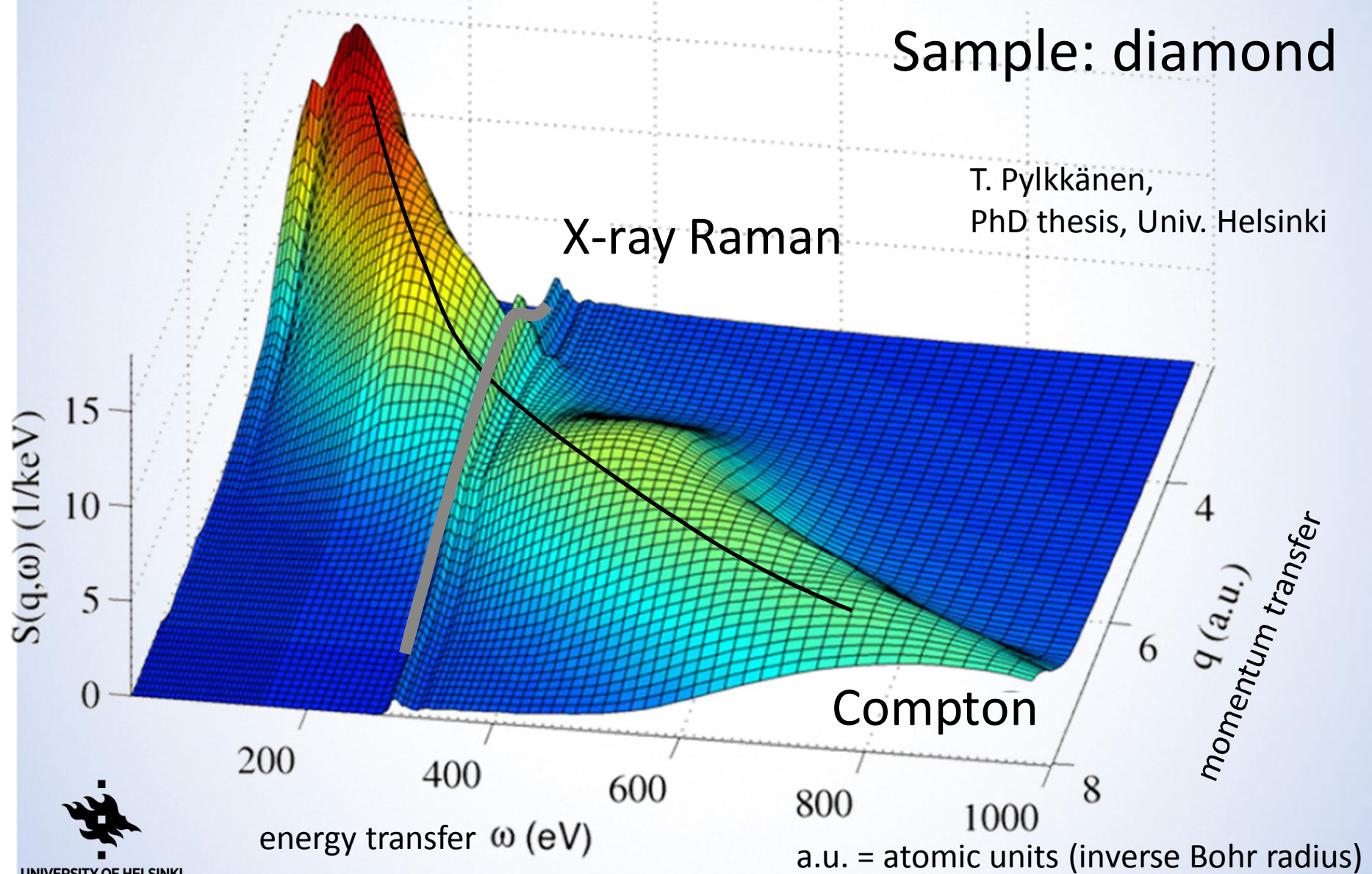
In our example:  
 carbon atom  
 $\hbar\omega_1 = 13 \text{ keV}$   
 $2\theta = 150^\circ$



# TYPICAL SPECTRUM

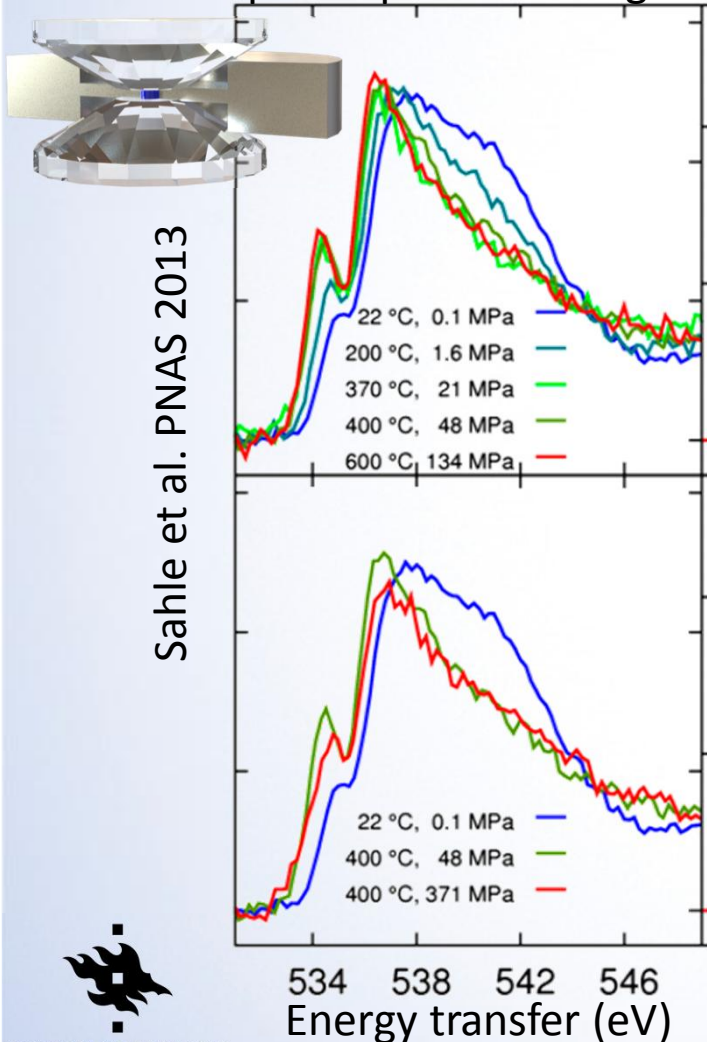
Sample: diamond

T. Pylkkänen,  
PhD thesis, Univ. Helsinki



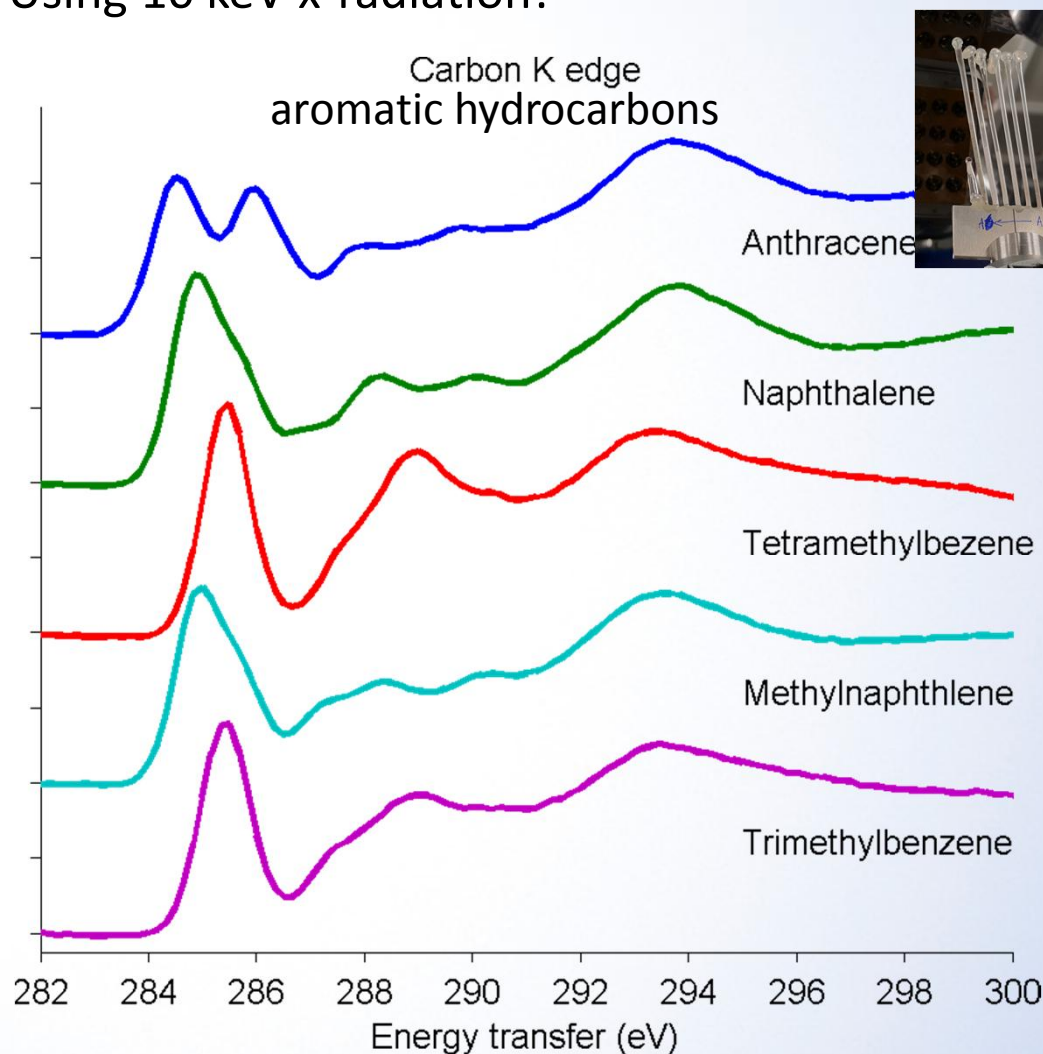
# X-RAY RAMAN SPECTRA

Oxygen K edge in water  
up to supercritical regime



Using 10 keV x-radiation!

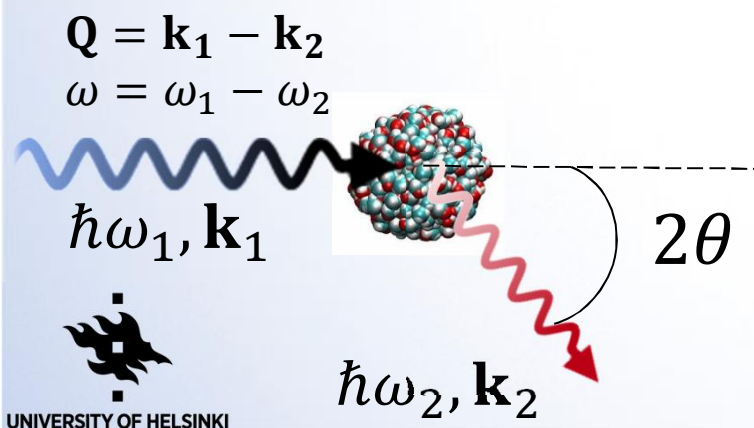
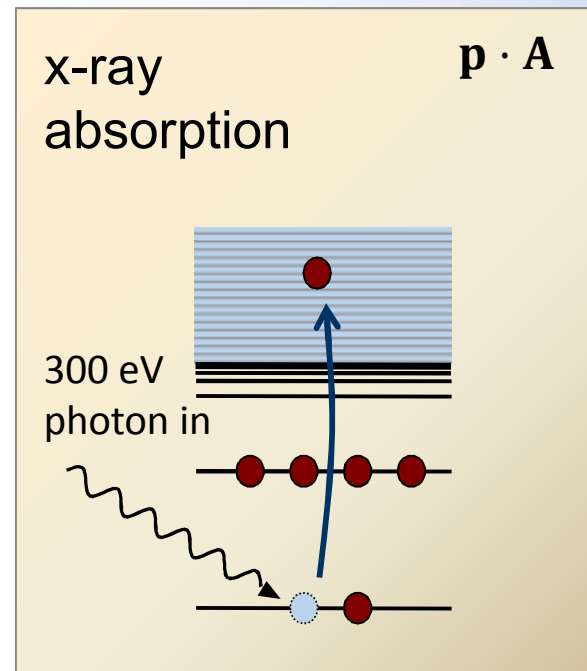
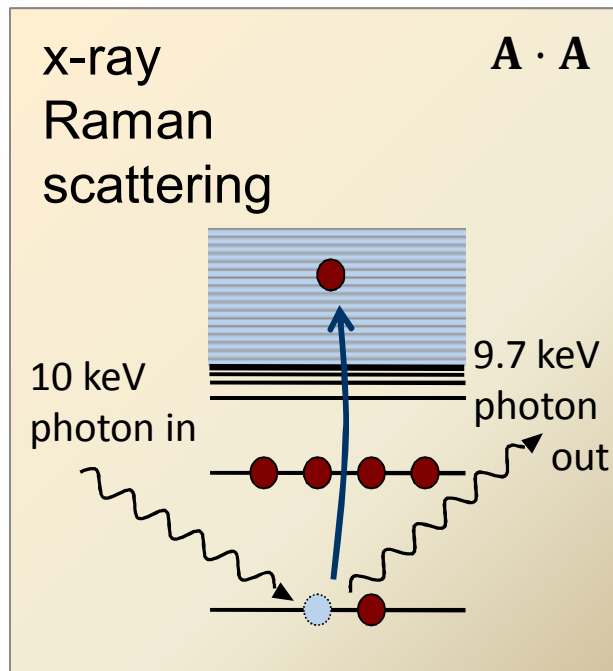
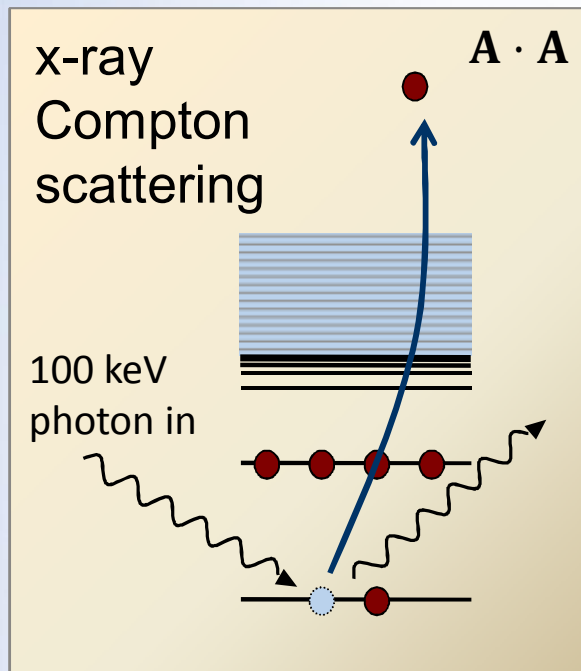
Carbon K edge  
aromatic hydrocarbons



Ruiz-Martinez et al. to be published



# X-RAY RAMAN SCATTERING



In Compton & XRS we measure the dynamic structure factor

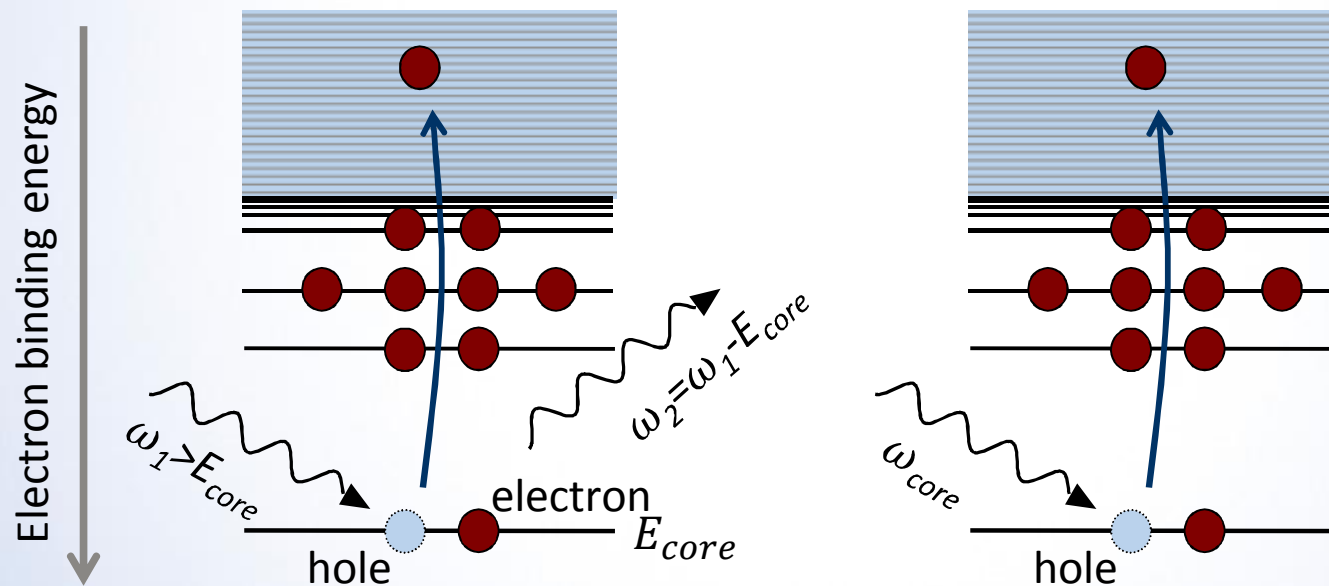
$$S(\mathbf{Q}, \omega) \propto \sum_f |\langle f | \exp(i\mathbf{Q} \cdot \mathbf{r}) | i \rangle|^2$$

$$e^{i\mathbf{Q} \cdot \mathbf{r}} = 1 + i\mathbf{Q} \cdot \mathbf{r} - (\mathbf{Q} \cdot \mathbf{r})^2 / 2 + \dots$$

# INELASTIC SCATTERING

Equivalence of:

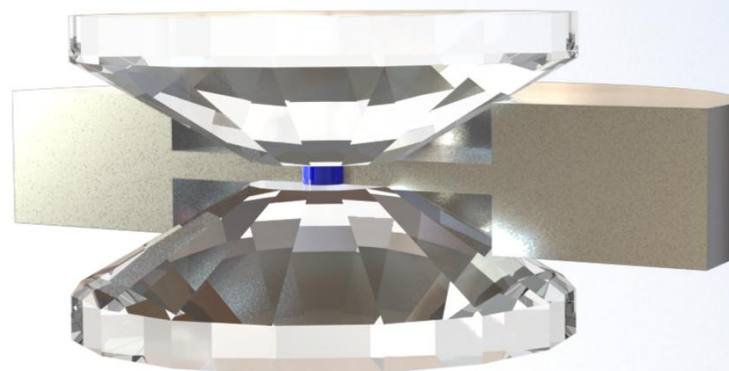
Scattering	Absorption	Excitations
optical Raman	infrared absorption	vibrational (meV)
x-ray Raman	soft x-ray absorption	core-electrons (eV, keV)





# HARD X-RAYS: BENEFITS

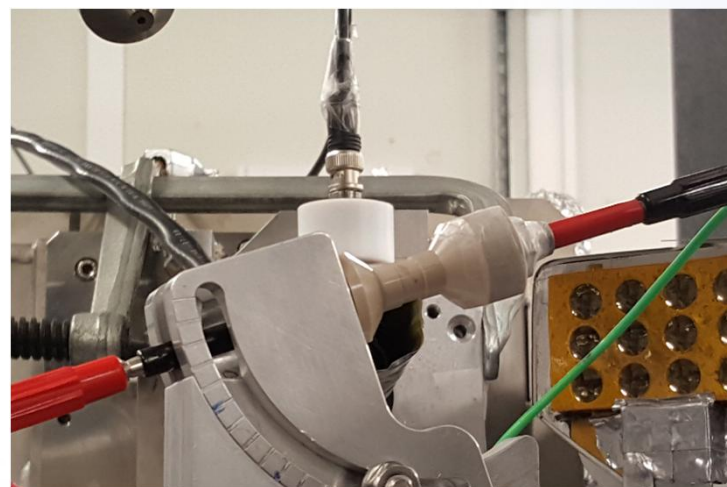
**Access to extreme conditions and in-situ environments (chemical reactors, etc)**



Diamond anvil cell: high pressure

**Bulk sensitivity**

**Not limited to dipolar transitions**

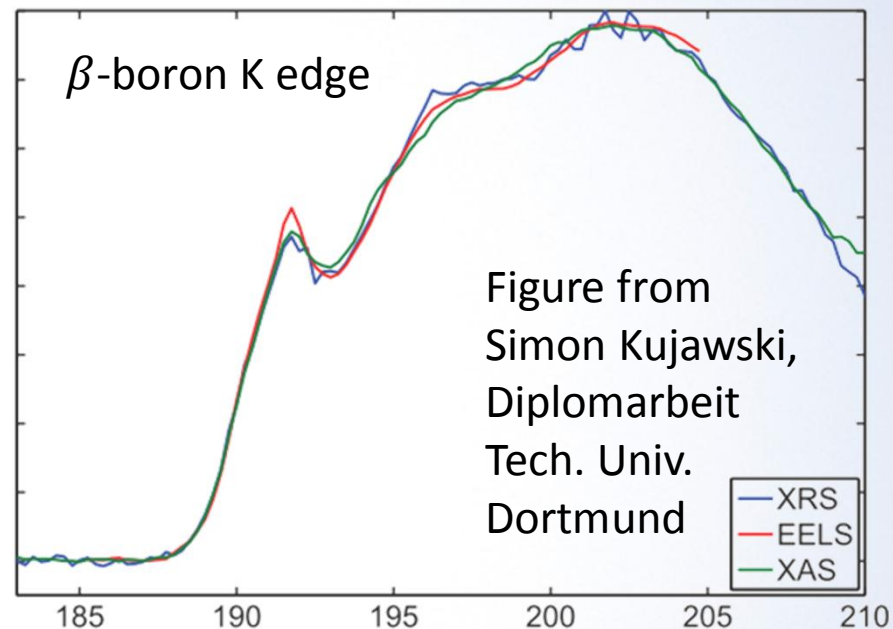
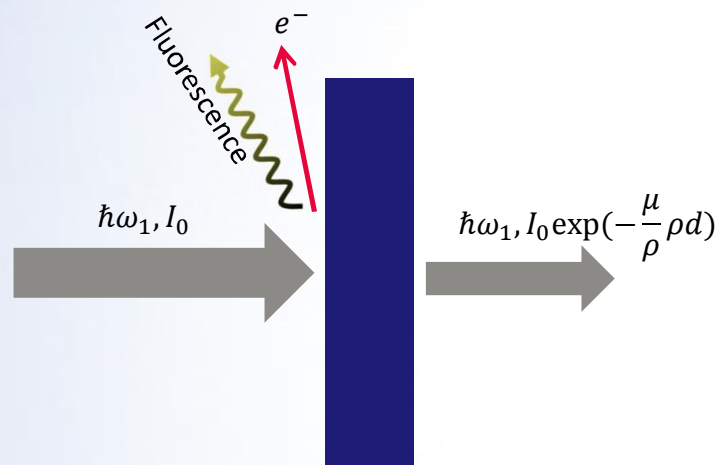


Li-ion battery: electrochemistry

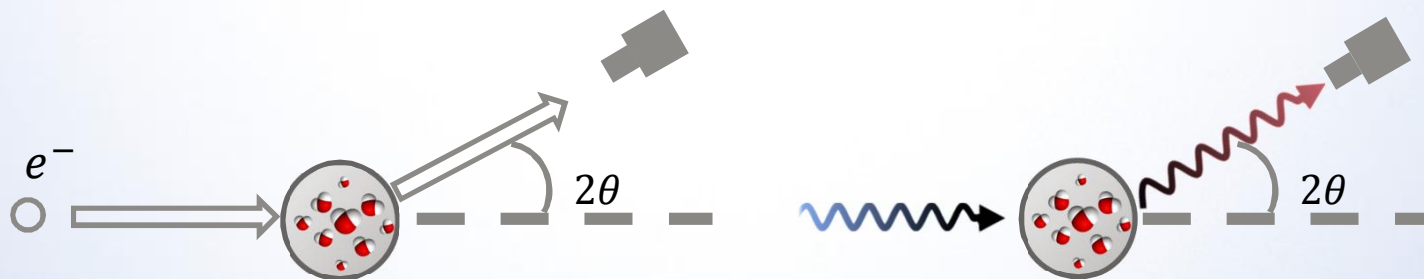


# COMPLEMENTARY TECHNIQUES

- Soft x-ray absorption (XAS, XANES, EXAFS)



- Electron scattering (EELS, ELNES)



# WHY IS IT CALLED X-RAY "RAMAN"

*Indian J. Phys.* 2 387–398 (1928)

## A new radiation\*

C V RAMAN, F.R.S.

[Plate 1]

### 1. Introduction

I propose this evening to speak to you on a new kind of radiation or light-emission from atoms and molecules. To make the significance of the discovery clear, I propose to place before you the history of the investigations made at Calcutta which led up to it. Before doing so, however, a few preliminary remarks regarding radiation from atoms and molecules will not be out of place.

### 8. Possible X-ray analogies

If a quantum of radiation can be absorbed in part and scattered in part in the optical region of the spectrum, should not similar phenomena also occur in X-ray scattering? The type of scattering discovered by Prof. Compton may possibly be only one of numerous other types of scattering with modified frequencies, some with a line spectrum and some in the nature of continuous radiation. The extreme ultra-violet region of the spectrum may also furnish us with numerous examples of the new type of radiation, which clearly occupies a position intermediate between scattering and fluorescence.



# BELOVED CHILD HAS MANY NAMES (FINNISH PROVERB)

(Non-resonant) X-ray Raman scattering (XRS)

Non-resonant inelastic x-ray scattering (NRIXS or NIXS)

Not to be confused with:

- Nuclear resonant inelastic x-ray scattering (NRIXS or NIXS)
- Raman scattering (RS) (i.e., as is done with lasers for vibrational spectroscopy)
- Resonant X-ray Raman scattering (RRS) (older name for resonant x-ray emission spectroscopy, RXES)

We must do something to clear this nomenclature up...

The Finnish brown bear (*Ursus arctos*):  
Karhu, otso, mesikämmen, metsän kuningas,  
kontio, oksi, nalle, ohto, ...



# REFRESH

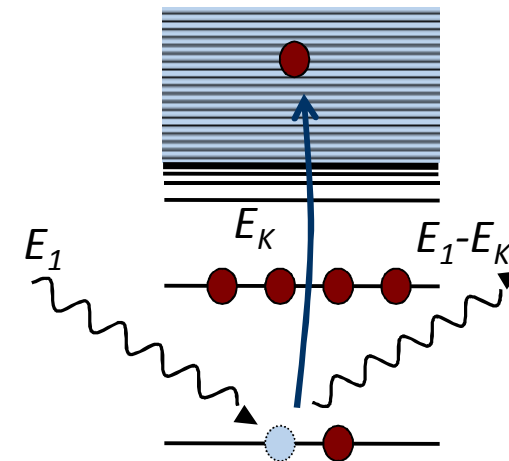
**X-ray Raman scattering is inelastic x-ray scattering from core electrons**

Powerful technique rapidly growing in popularity with 3<sup>rd</sup> generation synchrotrons

Use hard x-rays to study soft x-ray edges

⇒ **bulk-sensitive** measurements  
easy access to **extreme environments**

Additionally **momentum-transfer**  
gives access to non dipole transitions



energy transfer  $E_K$   
momentum transfer  $q$

